

Atty Docket No. 0102/0247  
Customer No. 021395

17439 U.S.PTO  
022504

21906 U.S.PTO  
10/785179  
022504

17439 U.S.PTO  
022504

FORM PTO-1082  
TRANSMITTAL FOR NEW U.S. PATENT APPLICATION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

BOX APPLICATIONS

Re: New U.S. Patent Application

For: METHOD AND APPARATUS FOR RECORDING  
AND REPRODUCING INFORMATION ON AND  
FROM OPTICAL DISC

Inventor(s): Yasuhiro UEKI

Attorney Docket: 0102/0247

Sir:

Attached hereto is the application identified above, including 104 of textual specification including 21 claims and 20 sheets of drawings.

[XX] This application is a divisional of U.S. Patent Application No. 10/290,243 filed November 8, 2002 which in turn is a divisional of U.S. Patent Application No. 10/132,266 filed April 26, 2002, since matured to U.S. Patent No. 6,496,459 on December 17, 2002 which in turn is a divisional of U.S. Patent Application No. 09/697,514 filed October 27, 2000, since matured to U.S. Patent No. 6,404,713 on June 11, 2002, the disclosure of which being incorporated by reference to this application.

[XX] Submitted herewith is a Preliminary Amendment. The filing fee and claims calculated below are based on the Preliminary Amendment.

The Government filing fee is calculated as follows:

	(Col 1)	(Col 2)	(Col 3)	SMALL ENTITY	NON-SMALL ENTITY
	NO. FILED		NO. EXTRA	RATE	RATE
TOTAL	3	minus	20	x9=	0
INDEP	3	minus	3	x43=	0
	First Presentation, Multiple Dependent Claims			+145=	0
	Base Filing Fee				\$770
	TOTAL FILING FEE* (accounting for possible small entity status)				\$770

[ ] Reduced by one-half, as applicant(s) is/are a "small entity". A Declaration Claiming Small Entity Status:

- [ ] is filed herewith;
- [ ] will be filed at a later date;
- [ ] was filed in the parent application.

[XX] Foreign priority is claimed under 35 U.S.C. § 119 from:

Japanese Patent Application No. 11-325956 filed November 16, 1999  
Japanese Patent Application No. 11-334099 filed November 25, 1999

[XX] Priority documents were submitted in application No. 09/697,514 on March 16, 2001.

[ ] There is no claim to foreign priority under 35 U.S.C. § 119.

[XX] A copy of the Declaration submitted in application No. 09/697,514 is attached herewith.

[XX] A Change of Attorney's Address is submitted herewith.

[ ] Executed Declaration(s) will be submitted at a later date pursuant to 37 CFR § 1.41 and § 1.53, with an appropriate surcharge under 37 CFR § 1.16(e).

[XX] Formal drawing(s) is/are attached.

[ ] Formal drawing(s) will be submitted at a later date.

[XX] An Information Disclosure Statement and PTO-1449 are submitted.

[XX] The Assignment document in application No. 09/697,514 application was recorded on October 27, 2000 on Reel 011253, Frame 0677.

[XX] The Commissioner is hereby authorized to charge the amount of \$ 770.00 for the filing of this application to Deposit Account No. 50-0501. Also, the Commissioner is authorized to charge any deficiency under 37 CFR §§ 1.16 or 1.17, or credit any overpayment, to Deposit Account No. 50-0501. A duplicate copy of this form is attached

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- [ ] No payment is enclosed at this time. Full payment will be made when the executed Declaration is submitted.
- [XX] The Commissioner is hereby authorized to charge any fee deficiency, *during the entire pendency of the present application*, or credit any overpayment, to Deposit Account No. 50-0501. A duplicate copy of this Form is enclosed.
- [XX] Address all future communications (may only be completed by applicant, or attorney of record) to Louis Woo at **Customer No. 021395** whose address is:

Law Office of Louis Woo  
717 North Fayette Street  
Alexandria, Virginia 22314  
(703) 299-4090

Respectfully submitted,

  
Louis Woo, Reg. No. 31,730  
Law Offices of Louis Woo  
717 North Fayette Street  
Alexandria, Virginia 22314  
Phone: (703) 299-4090

Date: July 25 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Yasuhiro UEKI

Serial No.

Art Unit:

Filed: concurrently herewith

Examiner:

For: METHOD AND APPARATUS  
FOR RECORDING AND  
REPRODUCING  
INFORMATION ON AND  
FROM OPTICAL DISC

Atty Docket: 0102/0247

**CHANGE OF ATTORNEY'S ADDRESS IN APPLICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22314

Sir:

Please change the correspondence address and send all correspondence  
for this application to the following address:

Law Office of Louis Woo  
717 North Fayette Street  
Alexandria, Virginia 22314

Please direct telephone calls to:

(703) 299-4090

Respectfully submitted,

  
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Date: Feb 25 2004